

Advanced Technology Center  
Advanced Subsystems & Signal Processing Department  
DSP, Photonics, Digital Radio, Frequency Control, and Power Conversion

1998 Int'l Symposium on Advanced Radio Technologies



# THE APPLICATION OF DSP TECHNOLOGY IN HF/VHF/UHF RADIO SYSTEMS

September 10, 1998

Presented By Rich Groshong

Rockwell  
Collins

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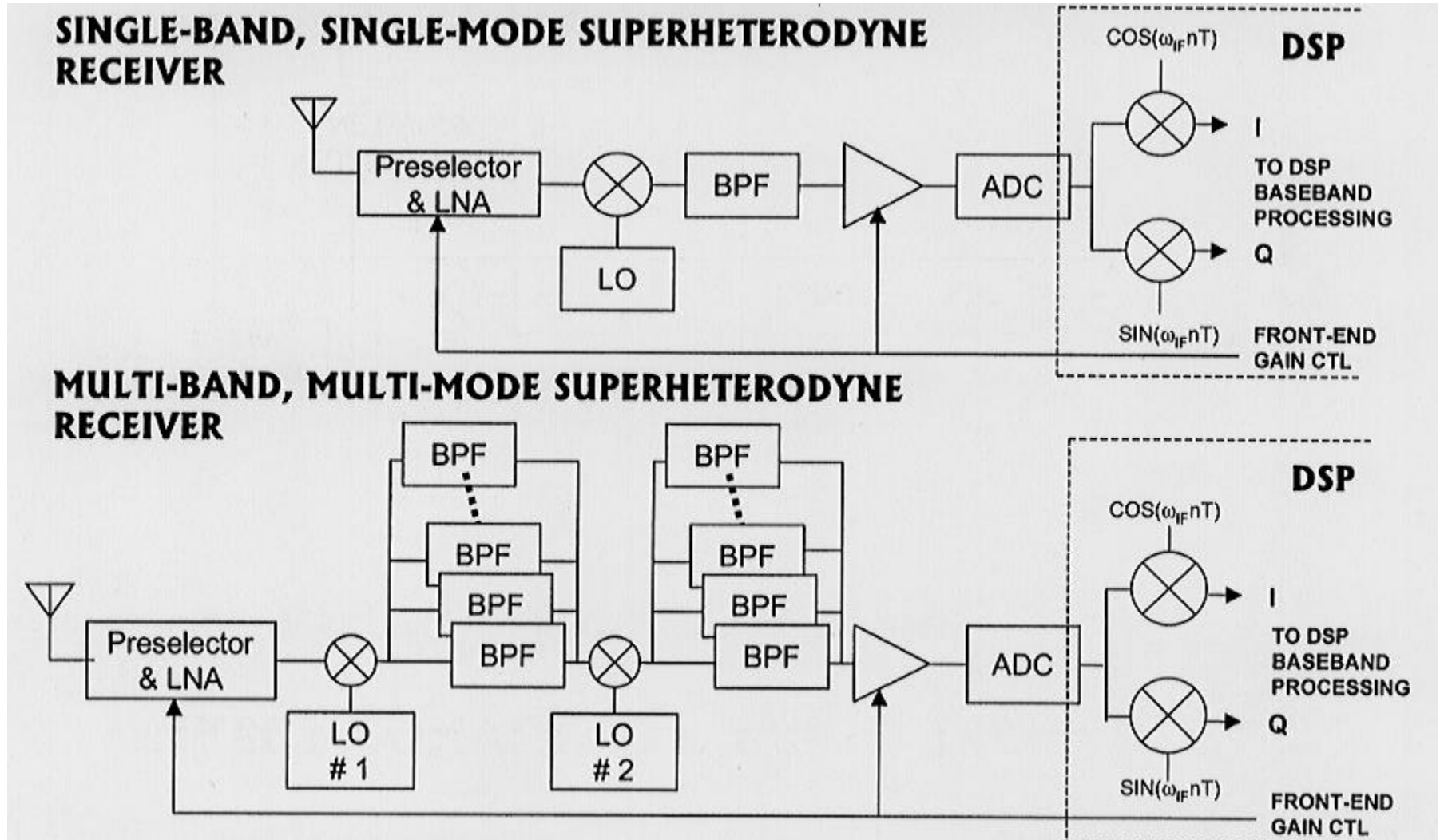
July 23, 1998

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## PRESENTATION AGENDA

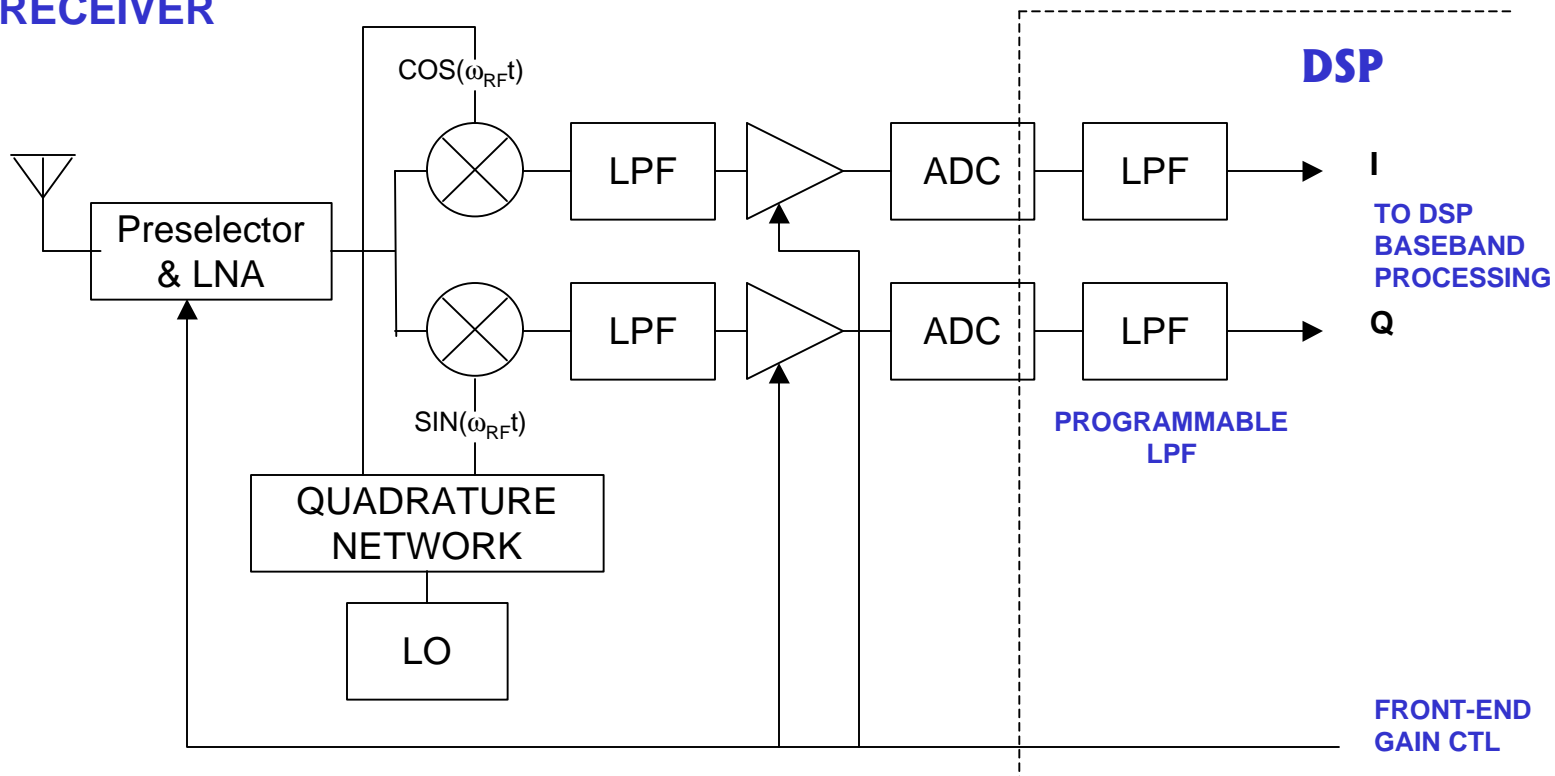
- Superheterodyne Radio Technology
- Direct Conversion Radio (DCR) Technology
- Critical DSP Radio Performance Issues
- Tricks of the DSP Trade
- Future DSP Radio Technology

# SUPERHETERODYNE RADIO TECHNOLOGY



## DIRECT CONVERSION RADIO TECHNOLOGY

### MULTI-BAND, MULTI-MODE DIRECT CONVERSION RECEIVER



## MODULAR AVIONICS RADIO WAVEFORMS

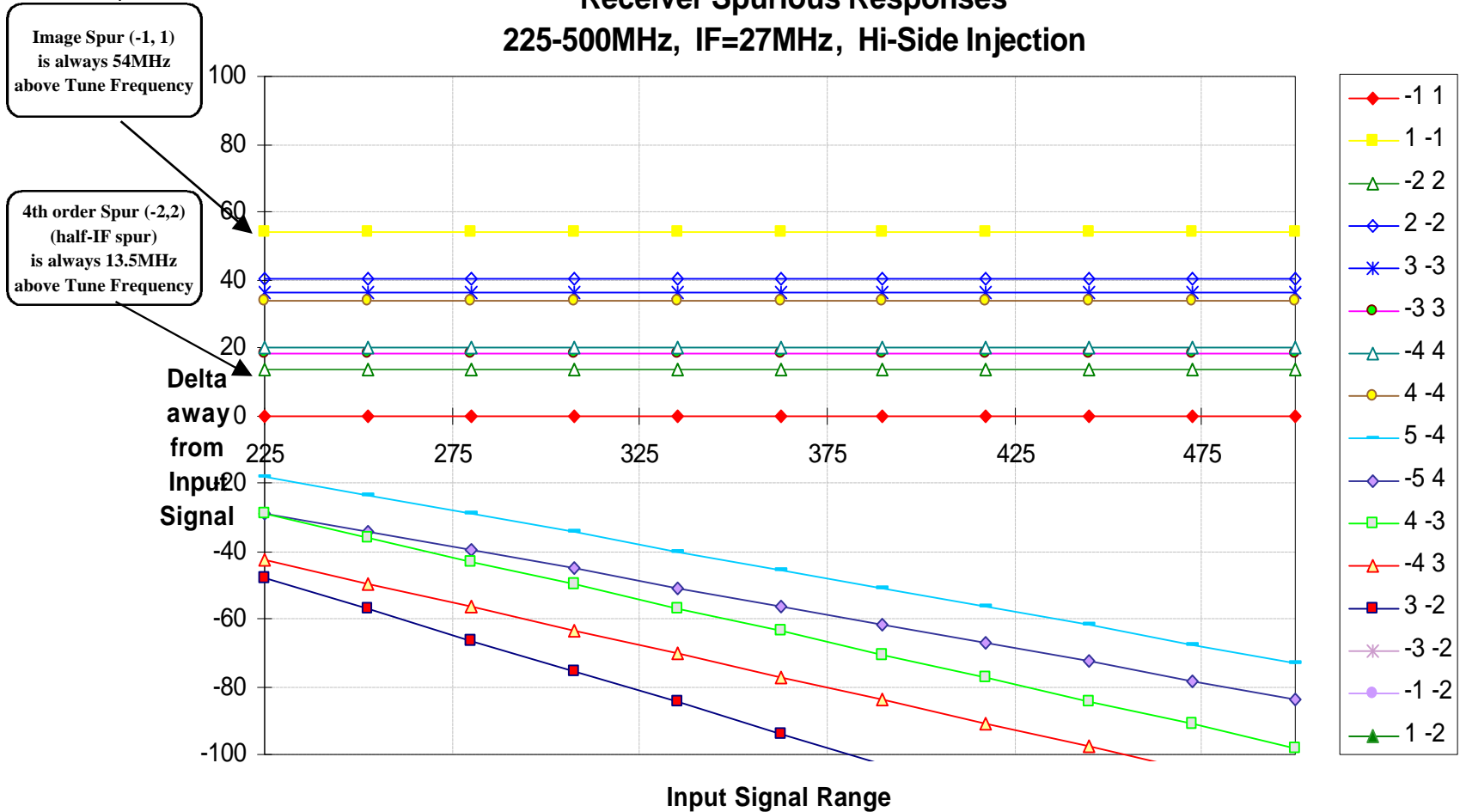
| <u>Waveform</u>                     | <u>Frequency</u>      | <u>BW</u>      |
|-------------------------------------|-----------------------|----------------|
| SINCGARS                            | 30-88MHz              | 25KHz          |
| VOR                                 | 108-118MHz            | 18KHz          |
| ILS (MB,LOC,GS)                     | 75,108-112,329-335MHz | 36KHz          |
| VHF/UHF (Clear Voice Com & Data)    | 30-400MHz             | 8.33KHz, 18KHz |
| HAVE QUICK, HAVE QUICK II           | 225-400MHz            | 25KHz,36KHz    |
| SATURN                              | 225-400MHz            | 36KHz          |
| Link 4                              | 225-400MHz            | 70KHz          |
| Link 11                             | 225-400MHz            | 70KHz          |
| SATCOM (DAMA)                       | 240-317MHz            | 8KHz, 36KHz    |
| Constant Source (T-A/B, TIBS, TRAP) | 240-270MHz            | 8KHz, 36KHz    |
| IFF / TCAS (XPND / INT)             | 1030,1090MHz          | 10.0MHz        |
| Link 16 / JTIDS                     | 969-1206MHz           | 3.0MHz         |
| TACAN                               | 962-1213MHz           | 400KHz         |
| Weapons Data Link                   | 1500-1900MHz          | 10.0MHz        |
| <i>HF</i>                           | <i>2-30MHz</i>        | <i>3, 6KHz</i> |

## DCR PRO'S AND CON'S

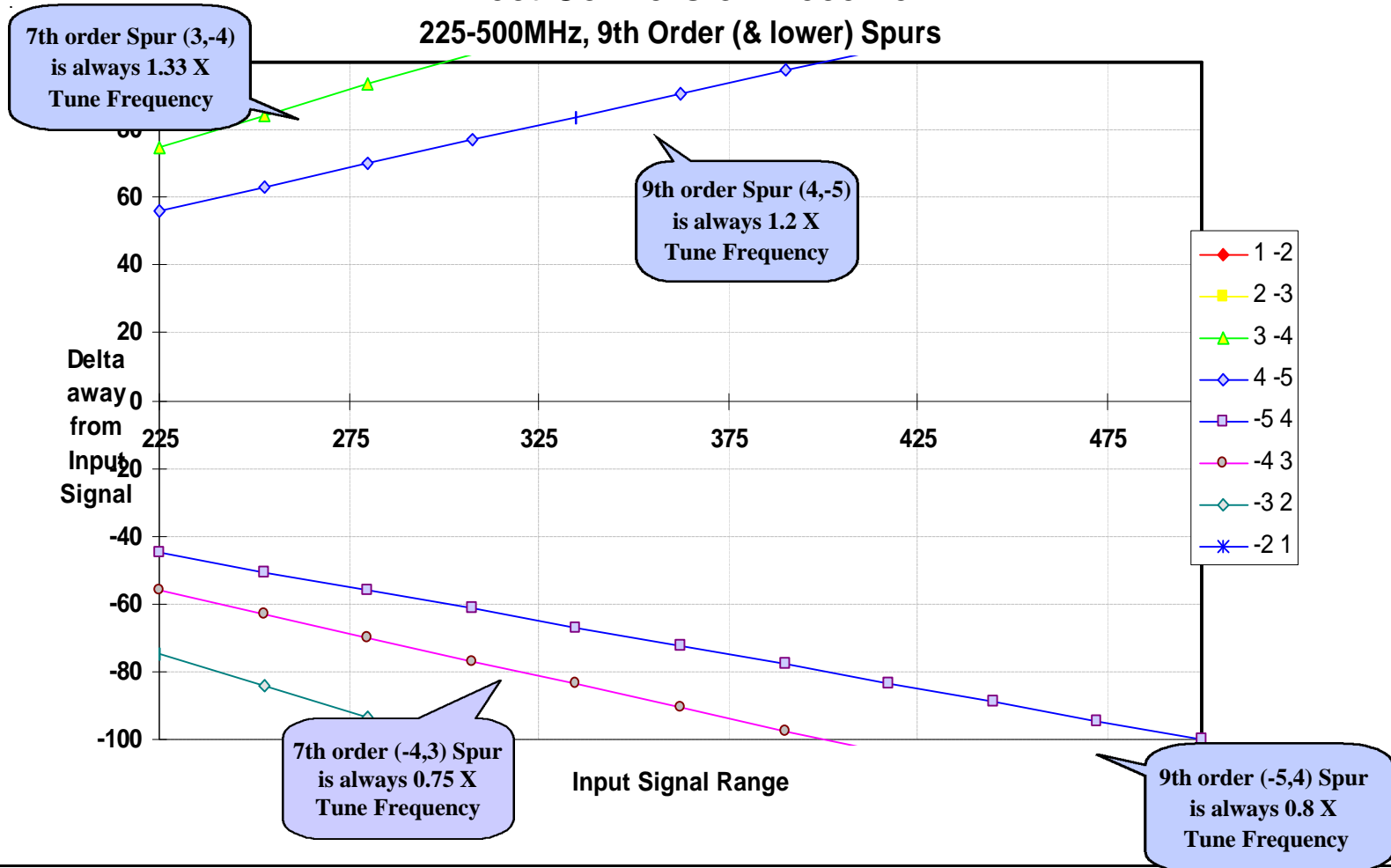
- DCR Offers Lower Cost / Size / Power for a Wide Frequency Coverage Radio
  - How do you build a 2 to 3000 MHz Superheterodyne?
  - Single Synthesizer DCR, 1-Loop at RF Carrier Frequency
  - Single Frequency Translator DCR, RF to BB & BB to RF
  - All DCR components are suitable for integration
    - MMIC's & ASIC's
  - Spurious Responses are well behaved (No Crossovers)
- DCR May be the only “practical” near-term technology for low-power PCMCIA Radio
- DCR REQUIRES DSP Calibration of Analog Circuits
  - Phase & Gain error, Rcvr DC Offset, Xmtr LO Leakage
  - DCR DSP is more complex but can be digital VLSI

# UHF SUPERHET SPURS

**Receiver Spurious Responses**  
 225-500MHz, IF=27MHz, Hi-Side Injection



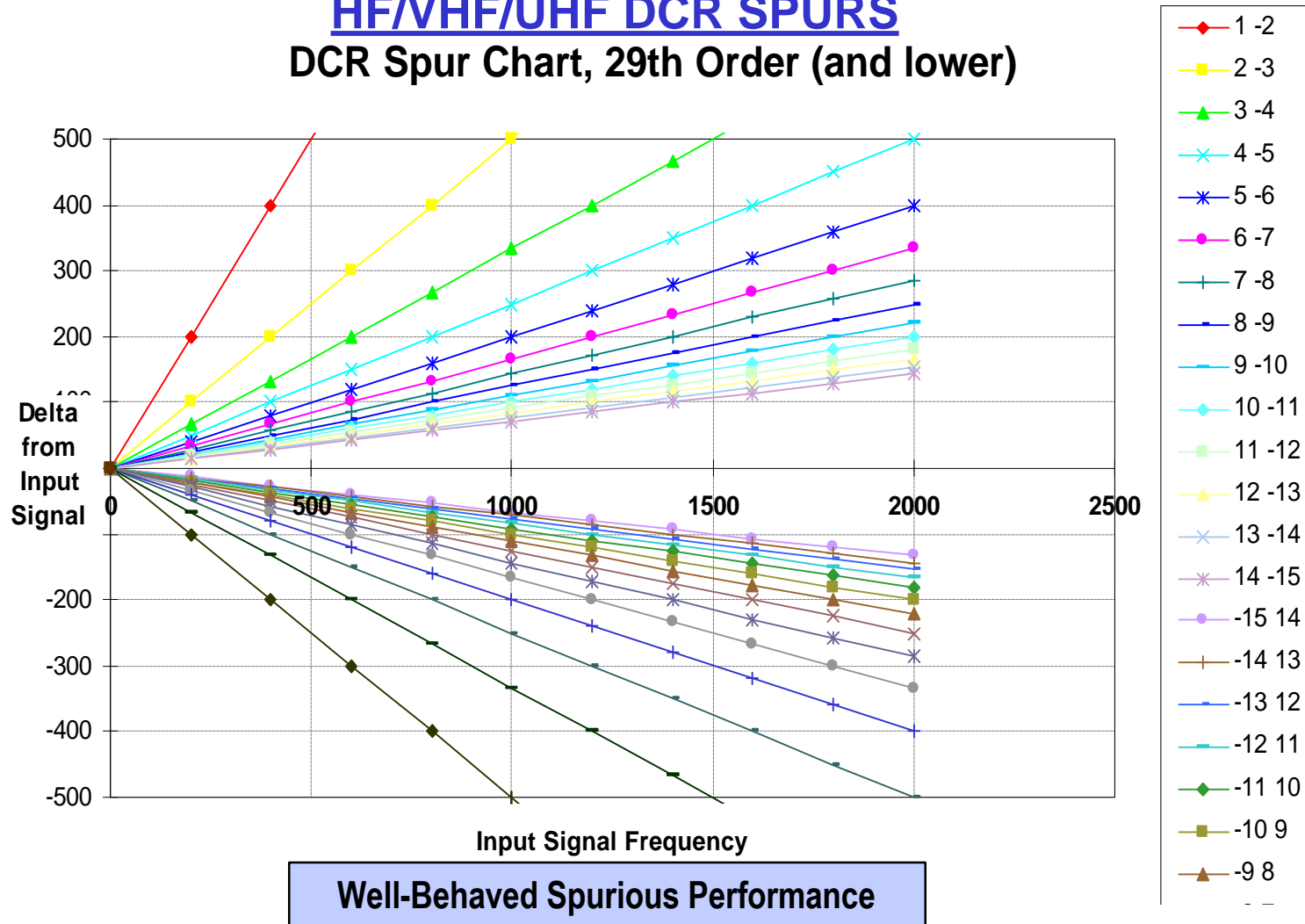
## UHF DCR SPURS Direct Conversion Receiver 225-500MHz, 9th Order (& lower) Spurs





## HF/VHF/UHF DCR SPURS

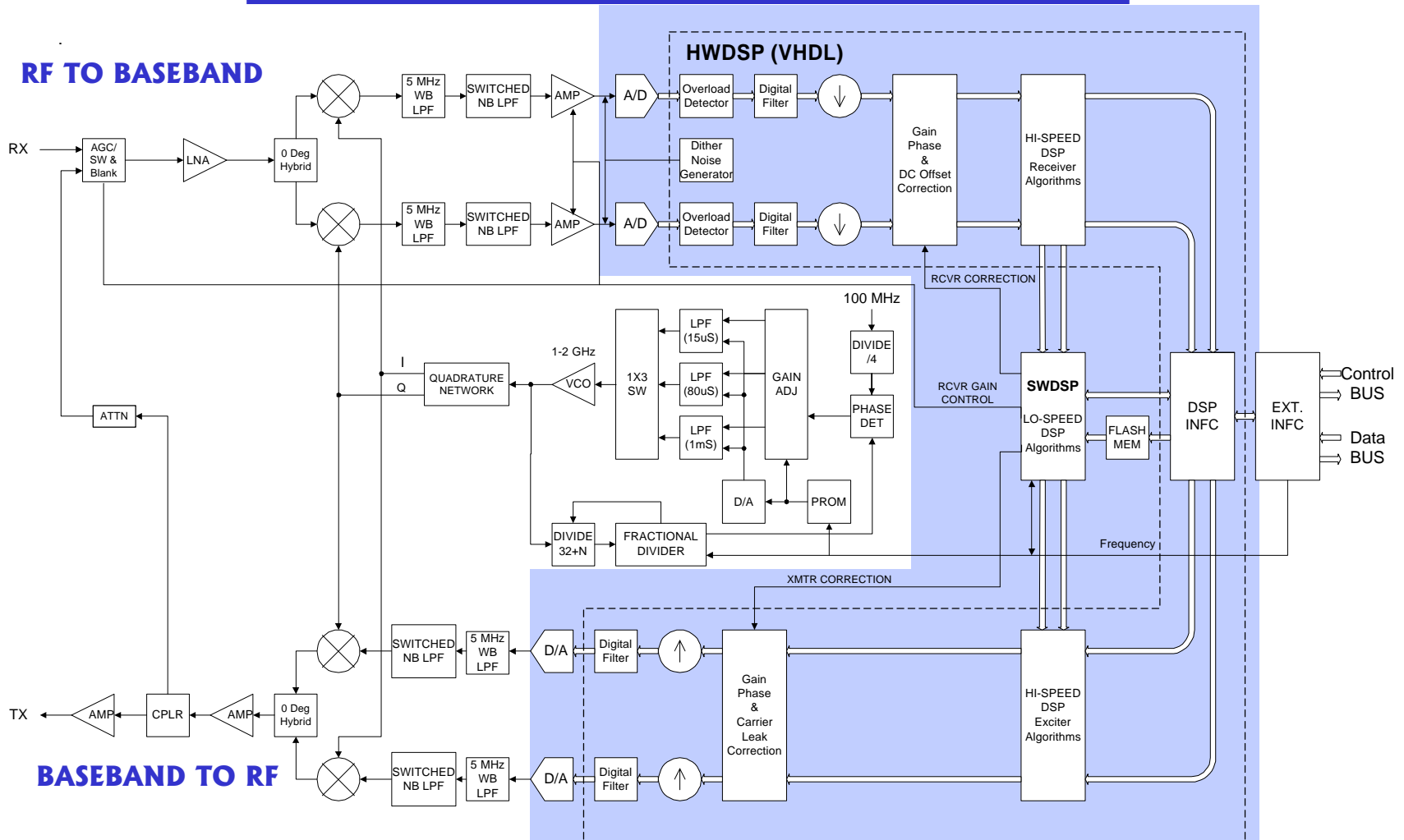
### DCR Spur Chart, 29th Order (and lower)



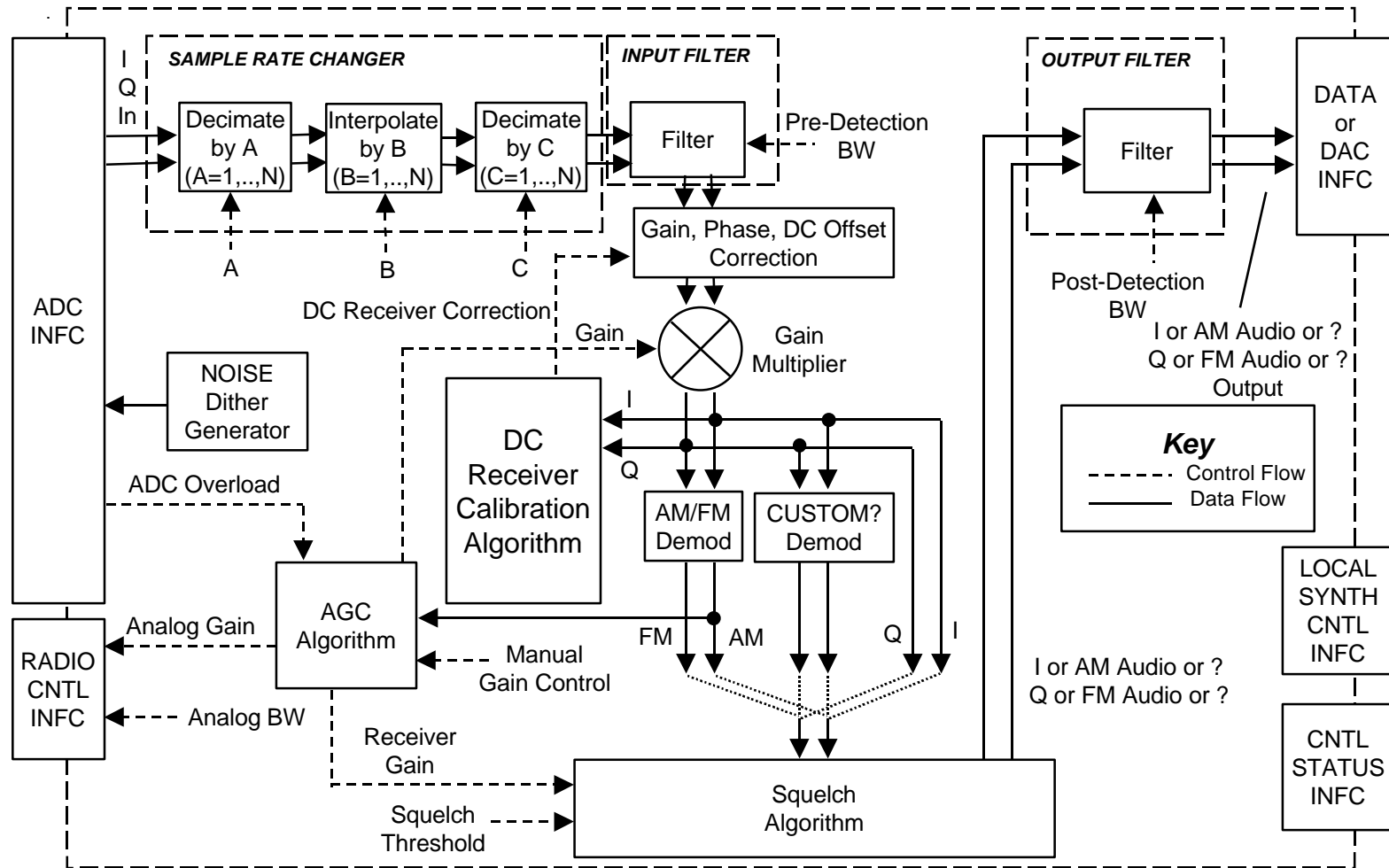
## MODULAR AVIONICS RADIO CAPABILITIES

- Direct Conversion Receiver / Direct Conversion Exciter
  - **Multi-Band Receiver and Exciter: *30 MHz to 2000 MHz***
  - **Multiple Channel Bandwidths: *5 KHz to 10 MHz***
  - **3-Pitch SEM-E Form Factor (5" x 6" x 1.8" ), reducing to 1-Pitch (5" x 6" x 0.6" )**
  - **Custom MMICs and ASICs and FPGAs**
- 30-2000MHz Synthesizer:
  - **Single-Loop PLL, utilizing Fractional Division Technology**
  - **Continuous Freq. Coverage 30-2000MHz / 10 Hz resolution.**
  - **Fast Tune (*15uS*), Medium Tune (*80uS*), and Slow Tune (*1mS*) modes.**
  - **Eliminates need for Synthesizer in PA**
- Digital Signal Processing:
  - **Phase, Gain, and DC Offset Correction Algorithms**
  - **Exciter Phase, Gain, and Carrier Leak Correction Algorithms**
  - **Decimation/Interpolation, Channel Selectivity, & Baseband Selectivity Filtering**
  - **Automatic & Manual Gain Control Algorithms**
  - **I/Q and AM/FM Modulation and Demodulation Algorithms**
  - **Squelch Algorithms**
  - **Digital Baseband I/Q or AM/FM Interface for both Tx and Rcv**

## DIRECT CONVERSION RADIO ARCHITECTURE



## TYPICAL RECEIVER DSP ALGORITHMS



## DCR CALIBRATION TECHNOLOGY

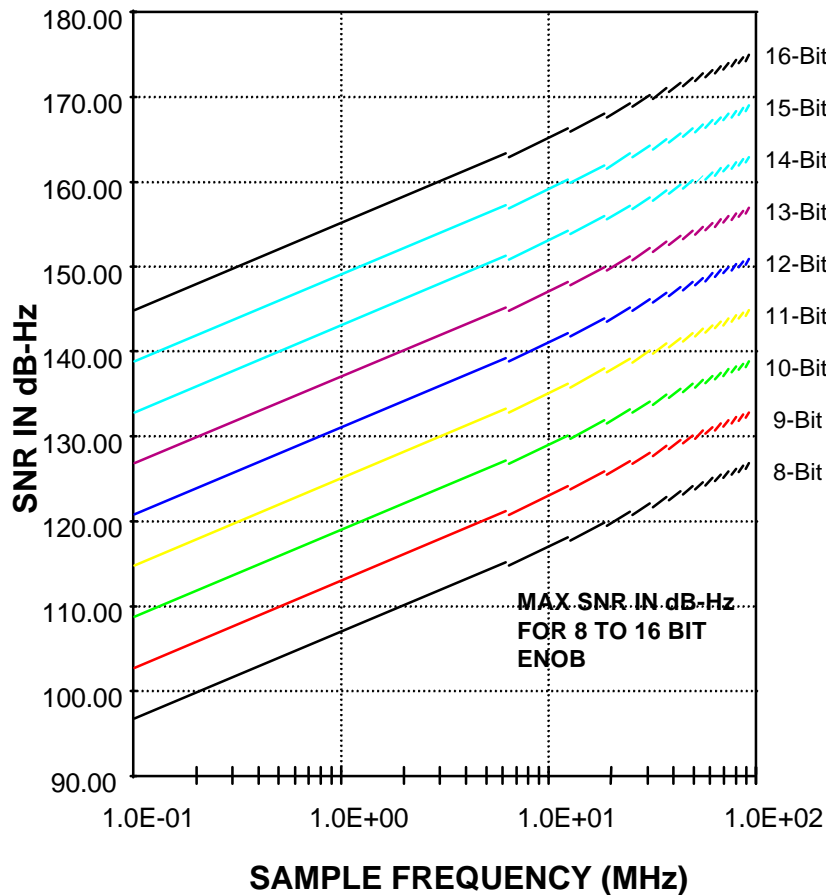
- Rapid & Accurate DCR Calibration Algorithms
  - Off-line/Factory/Pwr-up Calibration
    - e.g.
      - Calibrate entire Receiver and Exciter from 30 to 2000 MHz in less than 10 seconds
  - Tuning Event Calibration
    - e.g.
      - Calibrate DC Offset in 5 microseconds to support fast frequency hopping
  - Calibration Performance Requirements
    - e.g.
      - Calibrate Phase & Gain to -60 dBc Distortion
      - Phase error < 0.1 degree, Gain error < 0.01 dB
      - Calibrate DC Offset to preserve 80 dB SFDR

## ADC & DAC NOISE & DISTORTION SOURCES

- Random Noise due to Quantization
  - Know your Effective Number of Bits (ENOB) !
- Phase Noise due to Sampler Aperture Jitter
- Harmonic & Intermodulation Distortion due to :
  - Non-Uniform Quantizer Step Sizes
  - Cubic & Quadratic Amplifier Transfer Curve
  - Slew Rate Limiting
  - Nonlinear Impedance
  - ADC Overload
  - Inadequate Quantizer Noise Dither
- Spurious Noise & Distortion due to :
  - Undesired Digital Noise Pickup
    - *The Sample Clock is a Local Oscillator & MUST BE CLEAN*
  - Aliasing (including Broadband Noise Aliasing in ADC!)

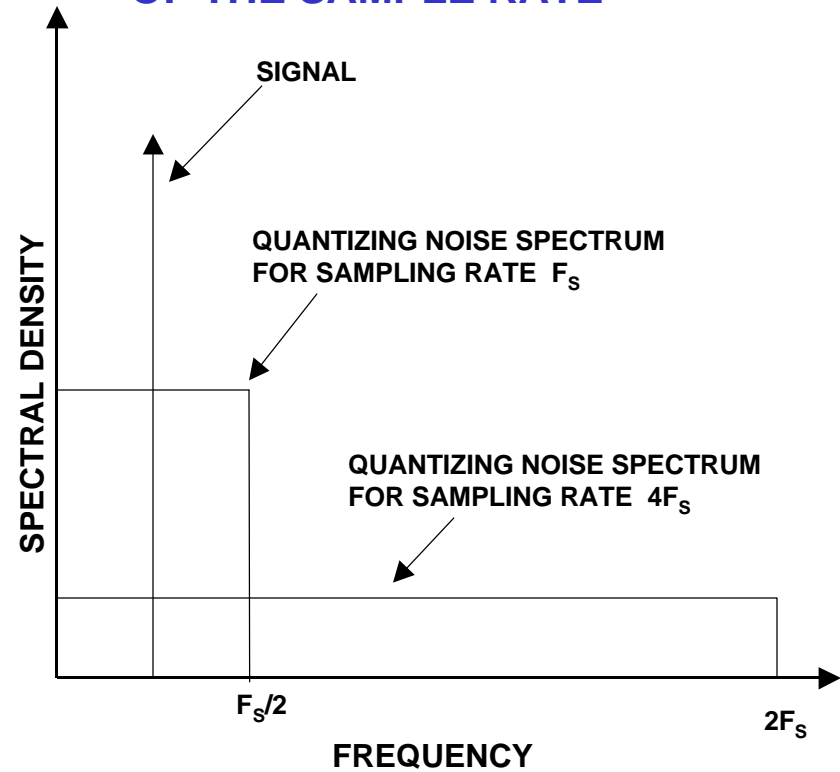
## ADC QUANTIZING NOISE

**MAX ADC SNR**

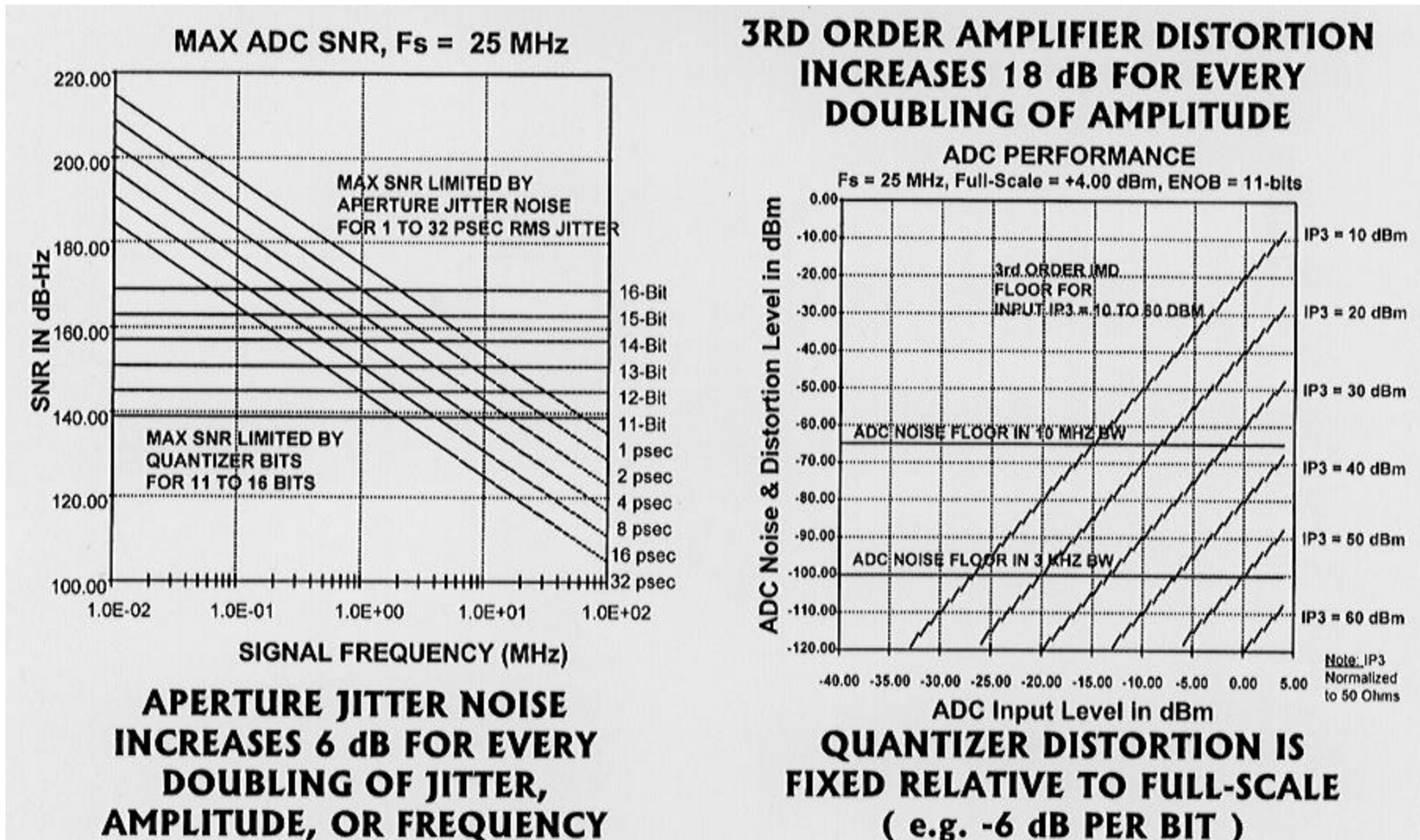


**SNR INCREASES 6 dB PER BIT**

**QUANTIZER NOISE DENSITY  
 DECREASES 3 dB  
 FOR EVERY DOUBLING  
 OF THE SAMPLE RATE**



## ADC NOISE & DISTORTION SOURCES





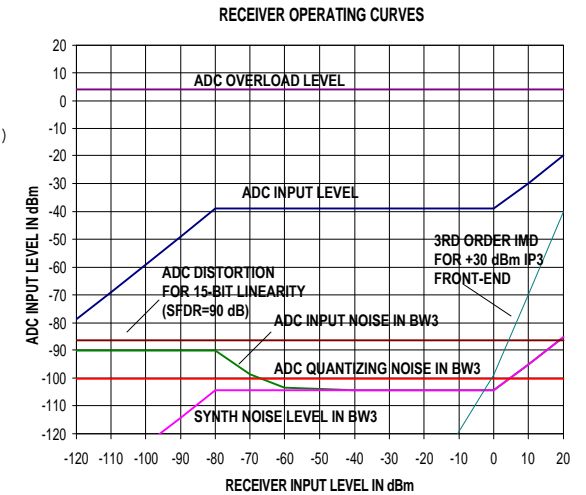
## DSP RECEIVER DESIGN

- Set Analog Gain to meet sensitivity requirement
  - e.g. 10 dB SNR @ -113 dBm in 3 kHz BW
- Ensure ADC output noise has Uniform Density
  - Either amplify thermal noise or add out-of-band dither noise
- Keep analog gain high until Ultimate SNR is obtained
  - Control output level with DSP gain control
- Hold ADC level constant if Ultimate SNR is obtained
  - Reduce analog gain & hold DSP gain constant
- Detect out-of-band interference at ADC
  - Reduce analog gain & increase DSP gain to maintain output
- Use Spreadsheet to select ADC, set gain distribution, and analyze noise & distortion performance

**DSP RECEIVER SPREADSHEET EXAMPLE**

DSP RECEIVER GAIN DISTRIBUTION AND NOISE PERFORMANCE VHF/UHF RCVR, 36 kHz BW

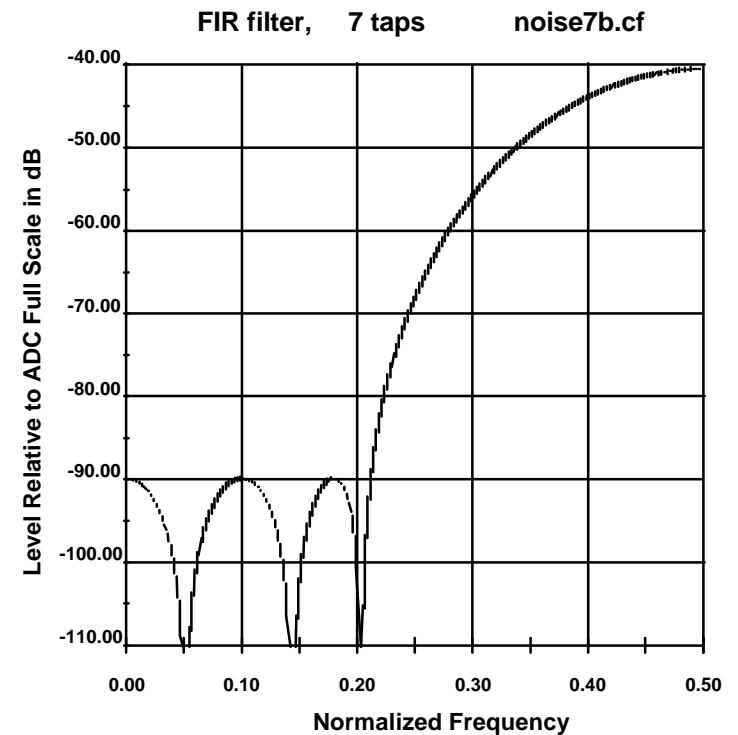
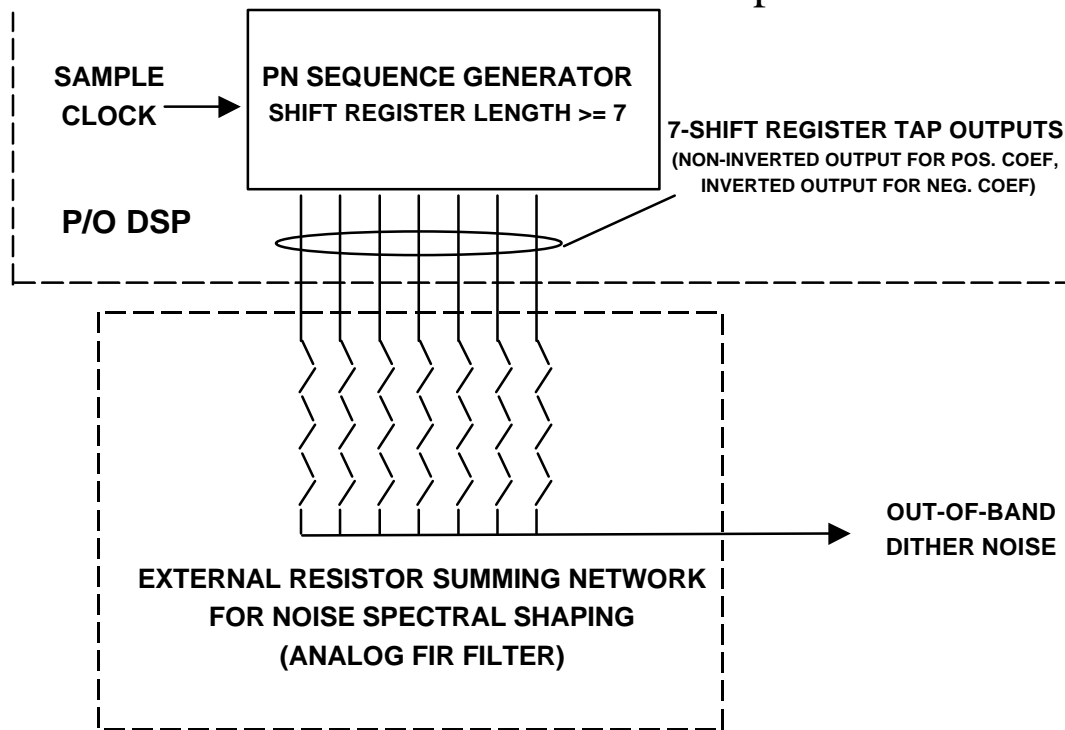
|  |                |  |
|--|----------------|--|
| Analog RF/IF Front-End Noise Figure        | 8.00 dB        |  |
| Max. Receiver Antenna Signal Level         | 20.00 dBm      |  |
| A/D Converter Effective No. of Bits        | 11.00 bits     | 68.0 dB Max. A/D S/N in Nyquist BW     |
| A/D Converter Full-Scale Level             | 0.50 V-pk =    | 4.0 dBm (full-scale sinewave level)    |
| A/D Converter Sample Frequency             | 25,000.0 kHz   | -62.2 dBm Min. A/D Input Noise (reqmt) |
| A/D Converter Input Bandwidth (BW1)        | 5,000.0 kHz    | -68.0 dBm A/D Quant. Noise in BW1      |
| DSP Pre-Detection (IF) Bandwidth (BW2)     | 36.0 kHz       | -89.4 dBm A/D Quant. Noise in BW2      |
| DSP Post-Detection (Audio) Bandwidth (BW3) | 3.0 kHz        | -100.2 dBm A/D Quant. Noise in BW3     |
| Analog RF/IF Front-End AGC Threshold       | -80.00 dBm     |  |
| Analog RF/IF Front-End AGC Range           | 81.00 dB       |  |
| Receiver AGC Threshold                     | -115.00 dBm    | -16.00 dBm IQ Output Signal Level      |
| Max. DSP Internal Gain                     | 58.00 dB       |  |
| AM Modulation Percentage                   | 80.00 %        | -23.96 dBm AM Output Signal Level      |
| FM Detector Sample Frequency               | 64.00 kHz      |  |
| FM Peak Deviation                          | 8.00 kHz       | -8.06 dBm FM Output Signal Level       |
| Synthesizer Noise Density                  | -100.00 dBc-Hz | 33.01 dB Max pre-A/D SNR in BW1        |
|  |                | 54.44 dB Max pre-A/D SNR in BW2        |
|  |                | 65.23 dB Max pre-A/D SNR in BW3        |



| Antenna Signal Level (dBm) | Analog RF/IF Gain (dB) | A/D Signal Level (dBm) | Noise at A/D Input in BW1 (dBm) | Noise at A/D Input in BW2 (dBm) | Noise at A/D Input in BW3 (dBm) | Total Noise in BW2 (dBm) | Total Noise in BW3 (dBm) | IQ SNR in BW2 (dB) | IQ SNR in BW3 (dB) | AM SNR in BW3 (dB) | FM SNR in BW3 (dB) | DSP Internal Gain (dB) | IQ Output Level (dBm) | Total Noise Figure (dB) | Antenna Ovld Level (dBm) | Antenna Ovld/Sig Ratio (dB) |
|----------------------------|------------------------|------------------------|---------------------------------|---------------------------------|---------------------------------|--------------------------|--------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|-----------------------|-------------------------|--------------------------|-----------------------------|
| -120.00                    | 41.00                  | -79.00                 | -58.01                          | -79.44                          | -90.23                          | -79.02                   | -89.81                   | 0.02               | 10.81              | 2.85               | 0.31               | 58.00                  | -21.00                | 8.42                    | -37.0                    | 83.0                        |
| -110.00                    | 41.00                  | -69.00                 | -58.01                          | -79.44                          | -90.23                          | -79.02                   | -89.81                   | 10.02              | 20.81              | 12.85              | 30.85              | 53.00                  | -16.00                | 8.42                    | -37.0                    | 73.0                        |
| -100.00                    | 41.00                  | -59.00                 | -58.01                          | -79.44                          | -90.23                          | -79.02                   | -89.81                   | 20.02              | 30.81              | 22.85              | 41.09              | 43.00                  | -16.00                | 8.42                    | -37.0                    | 63.0                        |
| -90.00                     | 41.00                  | -49.00                 | -57.99                          | -79.42                          | -90.21                          | -79.01                   | -89.80                   | 30.01              | 40.80              | 32.84              | 51.08              | 33.00                  | -16.00                | 8.43                    | -37.0                    | 53.0                        |
| -80.00                     | 41.00                  | -39.00                 | -57.84                          | -79.27                          | -90.06                          | -78.87                   | -89.66                   | 39.87              | 50.66              | 42.70              | 60.94              | 23.00                  | -16.00                | 8.57                    | -37.0                    | 43.0                        |
| -70.00                     | 31.00                  | -39.00                 | -66.55                          | -87.98                          | -98.77                          | -85.63                   | -96.42                   | 46.63              | 57.42              | 49.46              | 67.70              | 23.00                  | -16.00                | 11.81                   | -27.0                    | 43.0                        |
| -60.00                     | 21.00                  | -39.00                 | -71.04                          | -92.46                          | -103.26                         | -87.67                   | -98.46                   | 48.67              | 59.46              | 51.50              | 69.74              | 23.00                  | -16.00                | 19.77                   | -17.0                    | 43.0                        |
| -50.00                     | 11.00                  | -39.00                 | -71.90                          | -93.33                          | -104.12                         | -87.93                   | -98.73                   | 48.93              | 59.73              | 51.77              | 70.01              | 23.00                  | -16.00                | 29.50                   | -7.0                     | 43.0                        |
| -40.00                     | 1.00                   | -39.00                 | -72.00                          | -93.43                          | -104.22                         | -87.96                   | -98.75                   | 48.96              | 59.75              | 51.80              | 70.03              | 23.00                  | -16.00                | 39.47                   | 3.0                      | 43.0                        |
| -30.00                     | -9.00                  | -39.00                 | -72.01                          | -93.44                          | -104.23                         | -87.96                   | -98.76                   | 48.96              | 59.76              | 51.80              | 70.04              | 23.00                  | -16.00                | 49.47                   | 13.0                     | 43.0                        |
| -20.00                     | -19.00                 | -39.00                 | -72.01                          | -93.44                          | -104.23                         | -87.97                   | -98.76                   | 48.97              | 59.76              | 51.80              | 70.04              | 23.00                  | -16.00                | 59.47                   | 20.0                     | 40.0                        |
| -10.00                     | -29.00                 | -39.00                 | -72.01                          | -93.44                          | -104.23                         | -87.97                   | -98.76                   | 48.97              | 59.76              | 51.80              | 70.04              | 23.00                  | -16.00                | 69.47                   | 20.0                     | 30.0                        |
| 0.00                       | -39.00                 | -39.00                 | -72.01                          | -93.44                          | -104.23                         | -87.97                   | -98.76                   | 48.97              | 59.76              | 51.80              | 70.04              | 23.00                  | -16.00                | 79.47                   | 20.0                     | 20.0                        |
| 10.00                      | -40.00                 | -30.00                 | -63.01                          | -84.44                          | -95.23                          | -83.24                   | -94.03                   | 53.24              | 64.03              | 56.07              | 74.31              | 14.00                  | -16.00                | 85.20                   | 20.0                     | 10.0                        |
| 20.00                      | -40.00                 | -20.00                 | -53.01                          | -74.44                          | -85.23                          | -74.30                   | -85.09                   | 54.30              | 65.09              | 57.13              | 75.37              | 4.00                   | -16.00                | 94.14                   | 20.0                     | 0.0                         |

## NOISE DITHER GENERATION

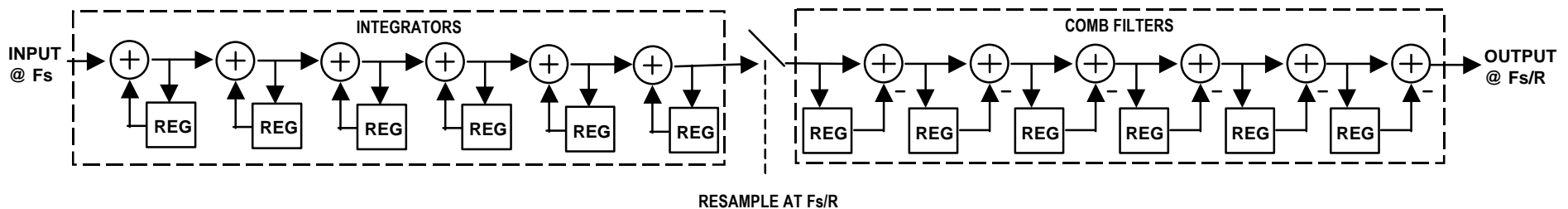
- Digital Generation of Out-of-Band Noise Dither
  - Dither May Be Required in NB Modes to Ensure Uniform Quantizing Noise ( e.g. to Linearize ADC Model )
  - Dither Reduces ADC Spurs & Distortion



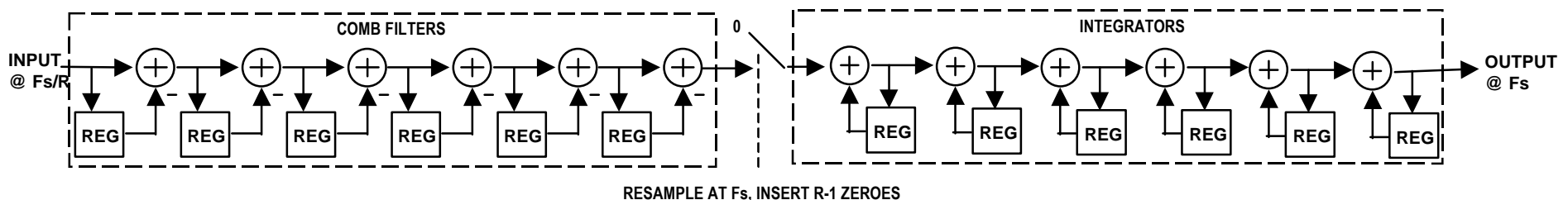
## HI-SPEED (MULTIPLIER-LESS) DIGITAL FILTERING

- Decimation & Interpolation
  - Cascade-Integrator-Comb (CIC) Digital Filters
    - Linear Phase Response
    - Variable Decimation / Interpolation Ratio
    - Multiplier-less Design

**CIC DECIMATOR (N=6, M=1, R=1,...,N) :**



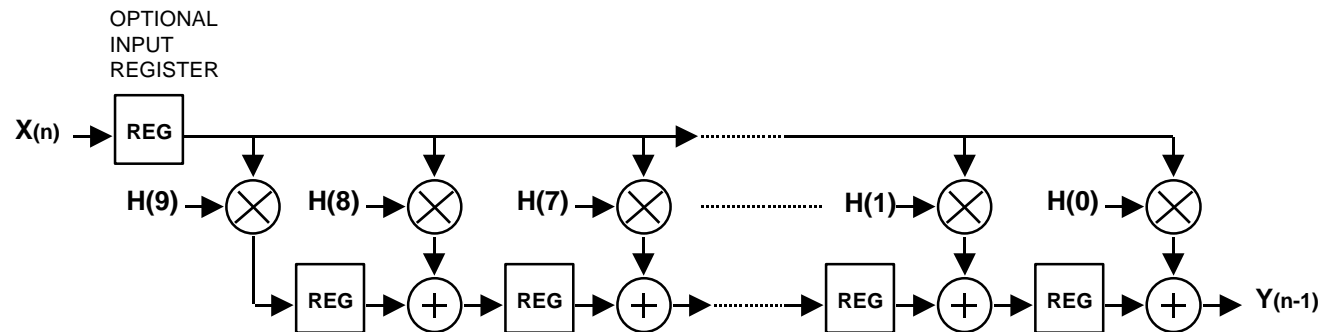
**CIC INTERPOLATOR (N=6, M=1, R=1,...,N) :**



## HI-SPEED (MULTIPLIER-LESS) DIGITAL FILTERING

- FIR Digital Filtering
  - Selectivity Filtering
  - CIC Frequency Response Correction
    - CIC Passband Rolloff Compensation
    - CIC Alias Suppresion (Selectivity Filtering)
  - Multiplier-less Designs Possible

– e.g.

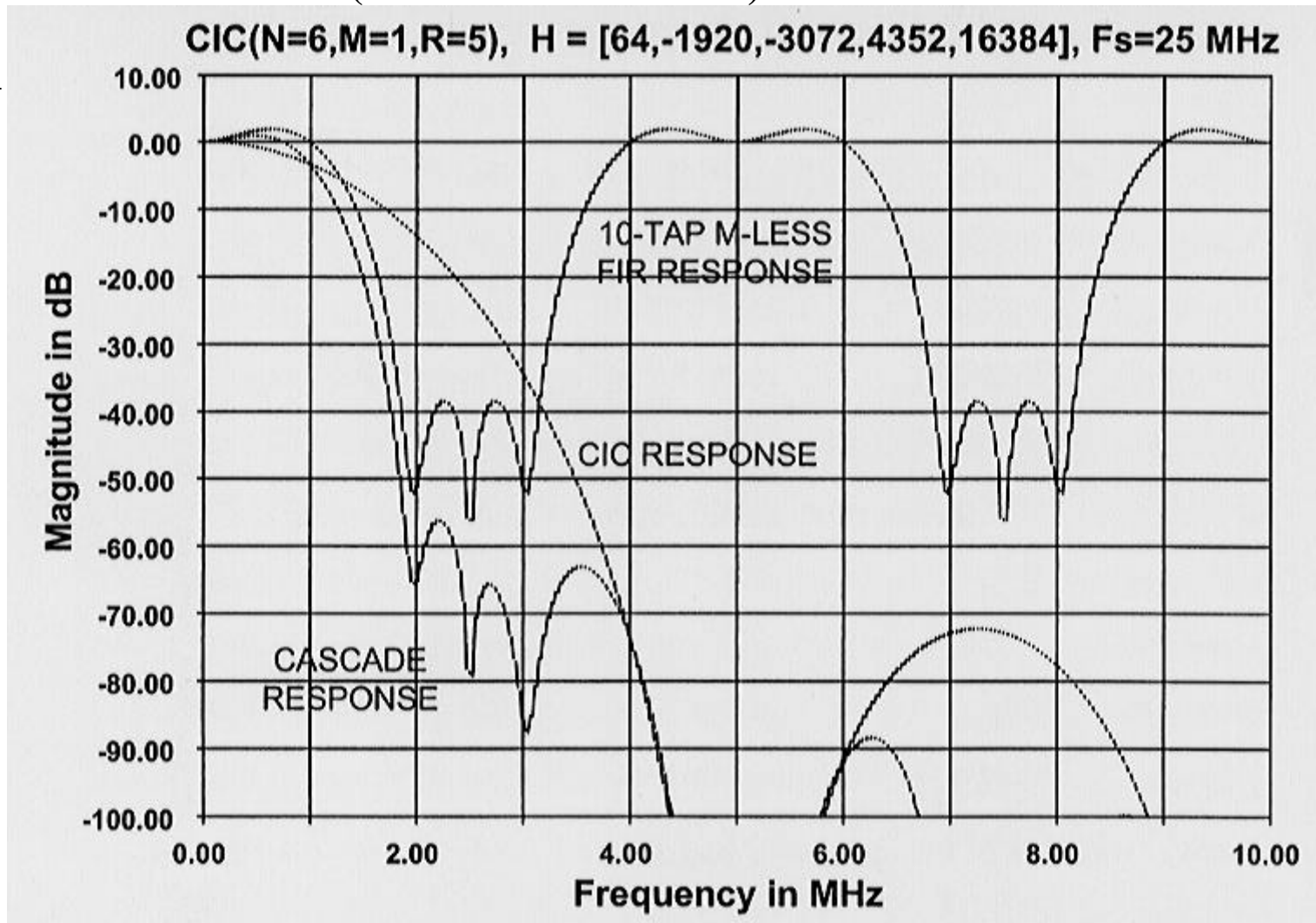


**10-TAP  
 MULTIPLIER-LESS  
 LINEAR PHASE  
 FIR FILTER EXAMPLE**

$$\begin{aligned}
 H(0) = H(9) &= 0.001953125 = 2^{-9} \\
 H(1) = H(8) &= -0.058593750 = 2^{-8} - 2^{-4} \\
 H(2) = H(7) &= -0.093750000 = -2^{-5} - 2^{-4} \\
 H(3) = H(6) &= 0.132812500 = 2^{-7} + 2^{-3} \\
 H(4) = H(5) &= 0.500000000 = 2^{-1}
 \end{aligned}$$



## HI-SPEED (MULTIPLIER-LESS) DIGITAL FILTERING



### FUTURE DSP RADIO TECHNOLOGY

